

# Polynomial Selection

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## Brief summary of polynomial selection

Given  $N \in \mathbb{Z}$

Find co-prime polynomials  $f, g \in \mathbb{Z}[x]$  with common zero modulo  $N$

Degrees and coefficients as small as possible

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Degrees and coefficients as small as possible

Restriction to  $\deg(f) = d, \deg(g) = 1$

Easy: coefficients of size  $N^{\frac{1}{d+1}}$ :

Choose  $m = \lceil N^{\frac{1}{d+1}} \rceil + 1$ , set  $g = x - m$ ,  $f = \sum_{i=0}^d a_i x^i$  where

$N = \sum_{i=0}^d a_i m^i$  is the base- $m$ -expansion of  $N$ .

Skewness:

Change sieving area from  $-A \leq a \leq A, 0 < b \leq A$  to  $-A\sqrt{s} \leq a \leq A\sqrt{s}, 0 < b \leq \frac{A}{\sqrt{s}}$  for some  $s$  (skewness)

$\Rightarrow$  want to minimise  $\max(|a_i| \cdot s^{i-\frac{d}{2}})$   $(f = \sum_{i=0}^d a_i x^i)$

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Choose  $a_d$  smaller than  $N^{\frac{1}{d+1}}$ , choose  $m$  near  $\left(\frac{N}{a_d}\right)^{\frac{1}{d}}$

$\Rightarrow |a_{d-1}|$  roughly of size  $a_d$ , small enough

Remaining coefficients of size  $\left(\frac{N}{a_d}\right)^{\frac{1}{d}}$

ok for  $a_0, a_1$  (perhaps also for  $a_2$ )

Coefficients  $a_{d-2}, \dots, a_3, a_2$  too big      biggest problem  $a_{d-2}$

## Motivation

Lattice sieving for 768 bit numbers:

e.g.: factor base bounds  $1.1 \cdot 10^9$  (for  $f$ ),  $2 \cdot 10^8$  (for  $g$ )

$\Rightarrow$  ca. 67 million factor base elements

gnfs-lasieveI16e needs 20 byte per factor base element:

- prime ideal  $(p, x - r)$ : 4 byte for  $p$  and 4 byte for  $r$
- two vectors in special  $q$  lattice:  $2 \cdot 4$  byte
- current location in special  $q$  lattice: 4 byte

could reduce this:

- use 1 byte for storing differences of  $p \Rightarrow 17$  byte
- handle larger  $p$  in a different way  $\Rightarrow 15$  or 16 byte

How can we reduce this further?

If skewness were equal to size of sieving area:

form of sieving area:  $-A \leq a \leq A, b = 1$  (one line)



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Storage requirements for lattice sieve (12 byte per factor base element):

- prime ideal  $(p, x - r)$ : 4 byte for  $p$  and 4 byte for  $r$
- current location in special  $q$  lattice: 4 byte

We can

- recalculate  $r$  from last location in special  $q$  lattice  $\Rightarrow$  8 byte
- store 1 byte differences of primes  $\Rightarrow$  5 byte

Reduced storage for factor base from 1GB (or 1.3GB) to 350MB

How can we find such polynomials?

## Polynomials with large skewness

Example: 768-bit integer  $N$ , size of sieving area  $\approx 2^{64} \approx$  skewness,

$$f = a_4x^4 + a_3x^3 + a_2x^2 + a_1x + a_0, g = lx - m$$

$$N = a_4m^4 + a_3lm^3 + a_2l^2m^2 + a_1l^3m + a_0l^4$$

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coefficient	$a_4$	$a_3$	$a_2$	$a_1$	$a_0$	$l$	$m$
bit size	0	64	128	192	256	128	192

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Check:  $64 + 128 + 192 + 256 + 128 + 192 - 64 - 64 = 768 + 64$

$\Rightarrow$  expect to find  $2^{64}$  such polynomial pairs

How can we find such polynomial pairs (with cost  $\ll 2^{64}$ )?

$$f = x^4 + a_3x^3 + a_2x^2 + a_1x + a_0, g = lx - m$$

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Restrict to  $a_3 = 0$ , assume  $l \ll \frac{m}{2^{64}}$ :

$$f = x^4 + a_2x^2 + a_1x + a_0, g = lx - m:$$

$$N = m^4 + a_2l^2m^2 + a_1l^3m + a_0l^4 = m^4 + l^2R \quad a_2 \approx \frac{R}{m^2}$$

New problem: to find  $l, m$  such that  $l^2|N - m^4|$  and  $\frac{|N - m^4|}{l^2m^2}$  is small

General problem:  $N$ ,  $d$  and bound  $B$  given, find  $l$ ,  $m$  such that  $l^2 |N - m^d|$  and  $\frac{|N - m^d|}{l^2 m^{d-2}} < B$



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Set  $m_0 = \sqrt[d]{N}$ ,  $m = m_0 + i$ ,  $i \in [-M, M]$

$$\Rightarrow |N - m^d| \approx dMm_0^{d-1}$$

want  $i$ ,  $l$  such that  $l^2|N - (m_0 + i)^d|$  and  $\frac{dMm_0}{l^2} < B$

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want  $i$ ,  $l$  such that  $l^2 |N - (m_0 + i)^d$  and  $\frac{dM m_0}{l^2} < B$

Set  $l = p_1 p_2$ ,  $p_i \in \mathcal{P}$  primes,  $\mathcal{P} = [P, 2P]$

1. generate pairs  $(p, i)$  such that  $p^2 |N - (m_0 + i)^d$
2. sort pairs w. r. t. second entry
3. for each collision, i. e., pairs  $(p_1, i)$ ,  $(p_2, i)$  with  $p_1 \neq p_2$ :  
output  $l = p_1 p_2$ ,  $m = m_0 + i$

$$\text{result: } |a_{d-2}| \approx \frac{|N - m^d|}{l^2 m^{d-2}} \lesssim \frac{dM}{P^4} m_0$$

## Analysis

$$m_0 = \sqrt[d]{N}, m = m_0 + i, i \in [-M, M]$$

$$l = p_1 p_2, \quad p_i \in \mathcal{P} \text{ primes, } \mathcal{P} = [P, 2P]$$

$$\text{number of pairs} \approx \frac{M}{P \log P}, \text{ number of collisions} \approx \frac{M}{4P^2 (\log P)^2}$$

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for 768 bit example choose  $M = 2^{90}$ ,  $P = 2^{39}$ :

$$\approx 1 \text{ collision, } \frac{dM}{P^4} m_0 \approx 2^{128}, \text{ cost } 2^{46} \text{ pairs}$$

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choosing  $M = P^2$ :

$$\text{cost per collision } O(P(\log P)^2), \text{ result } |a_{d-2}| \lesssim \frac{d}{P^2} m_0$$

## Asymptotic considerations

degree  $d = \left( \frac{3 \log N}{\log \log N} \right)^{\frac{1}{3}}$ , sieving area  $\approx L\left(\frac{1}{3}, \sqrt[3]{\frac{64}{9}}\right) \approx$  skewness

product of coefficient ranges of algebraic polynomial =  $L\left(1, \frac{7}{8}\right)$

$\Rightarrow$  cannot find such polynomial pairs

Remark: polynomial pairs of degree  $d$  and  $d - 1$  would be ok

## General situation

$$N = a_d m^d + a_{d-1} l m^{d-1} + l^2 R$$

Find  $l, m$  such that  $\frac{|R|}{m^{d-2}}$  ( $\approx |a_{d-2}|$ ) is sufficiently small.



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Find  $l, m$  such that  $\frac{|R|}{m^{d-2}}$  ( $\approx |a_{d-2}|$ ) is sufficiently small.

Reduction to  $a_d = 1, a_{d-1} = 0$  (translation  $x \mapsto x - \frac{a_{d-1}}{da_d}$ ):

$$d^d a_d^{d-1} N = (da_d m + a_{d-1} l)^d + l^2 \left( d^d a_d^{d-1} R - (da_d m)^{d-2} \cdot \binom{d}{2} \cdot a_{d-1}^2 - \dots \right)$$

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or

$$\tilde{N} = \tilde{m}^d + l^2 \tilde{R} \quad \text{where } \tilde{N} = d^d a_d^{d-1} N, \tilde{m} = da_d m + a_{d-1} l$$

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or

$$\tilde{N} = \tilde{m}^d + l^2 \tilde{R} \quad \text{where } \tilde{N} = d^d a_d^{d-1} N, \tilde{m} = da_d m + a_{d-1} l$$

1. find  $l, \tilde{m}$  as above

2.  $\tilde{m} = da_d m + a_{d-1} l$ : find  $m, 0 \leq a_{d-1} < da_d$  ( $\gcd(l, da_d) = 1$ )

Result:  $|a_{d-2}| \approx \frac{|\tilde{R}|}{d^2 a_d \tilde{m}^{d-2}} < \frac{dM\tilde{m}_0}{d^2 a_d P^4} \approx \frac{M}{P^4} m_0$

## Some tricks

Replace  $l = p_1 p_2$  by  $l = cp$ ,  $c \in \mathcal{C}$ ,  $p \in \mathcal{P}$

e. g.:  $\mathcal{C} = [P_1, P_2]$ ,  $\mathcal{P} = \{p \in [P_2, P_3] \mid p \text{ prime}\}$  for some  $P_1 < P_2 < P_3$

1. generate pairs  $(c, i)$ ,  $c \in \mathcal{C}$
2. generate pairs  $(p, j)$ ,  $p \in \mathcal{P}$
3. search for collisions between  $c$ -pairs and  $p$ -pairs, and for collisions within  $p$ -pairs

many alternative approaches, e. g.:

- arbitrary  $\mathcal{C}$ ,  $\mathcal{P}$ , remove multiples of primes of  $\mathcal{P}$  from  $\mathcal{C}$
- $\mathcal{C} = \{c \in [P_1, P_2] \mid p \mid c \Rightarrow p \equiv 1 \pmod{4}\}$ ,  
 $\mathcal{P} = \{c \in [P_1, P_2] \mid p \mid c \Rightarrow p \equiv 3 \pmod{4}\}$
- ...

## Special $q$

Choose  $q$ ,  $0 \leq s < q^2$  such that  $q^2 | N - (m_0 + s)^d$

Search for  $l'$  with  $l'^2 | N - (m_0 + s + iq^2)^d$  as above and set  $l = l'q$

analysis remains the same, only  $l$  is increased by  $q$

Advantage: Initialisation costs drop, since expensive root calculation of  $N - x^d$  modulo  $p$  (resp.  $c$ ) can be used for many  $q$

Even better: can do inversion modulo  $p^2$  for many  $q$  simultaneously  
 $\Rightarrow$  cost drops to a few modular additions + multiplications per generated pair

## Some results

number	sieving time	pol. sel. time	improvement
RSA512	$\approx 0.25$ a	4 d, 4 d, 4 d	0.84, 0.8, 0.84
RSA576	$\approx 2.5$ a	15 d	0.87
RSA640	$\approx 20$ a	10 d	0.77 (?)

improvement = time for new pol. pair / time for old pol. pair

RSA512: comparison with best polynomial pair found by old method

RSA576, RSA640: comparison with polynomial pairs used in factorisation